

| Sr.<br>No. | Authorised<br>Person's<br>Name / Trade<br>Name  | Authorised<br>Person's Code<br>(Exchnage<br>wise) | Constitution   | Status                  | Registered Address   |        |             |             |                                  |                            |
|------------|---|---|----------------|-------------------------|--|--------|-------------|-------------|----------------------------------|----------------------------|
|            |   |   |                | Approved /<br>Cancelled | Address  | City   | State       | PIN<br>Code | Termin<br>al<br>Alloted<br>(Y/N) | No.<br>of<br>Term<br>inals |
| 1          | Bharatbhai<br>Parekh (Trade<br>Name: Bharat<br>C Parekh)                                | AP1009001001                                      | Individual     | Approved                | Room No. 4/8,Chawl<br>No.1, Rita Niwas,Opp<br>Barot Sari Centre<br>Ganesh Nagar, Rawal<br>Pada,S N Dube Road<br>Dahisar (East)   | Mumbai | Maharashtra | 400068      | Y                                | 1                          |
| 2          | Bhavin Anil<br>Doshi  | AP1009000901                                      | Individual     | Approved                | C-55, 3rd Floor,<br>Parijat,Vishnu Baug,<br>Behind Shopper Stop,<br>S. V. Road, Andheri<br>(West)  | Mumbai | Maharashtra | 400058      | Y                                | 1                          |
| 3          | Brijesh K Shah<br>(Trade Name:<br>Bright<br>Investments)                                | AP1009001041                                      | Proprietorship | Approved                | C - 1604, Orchid<br>Suburbia,Link Road,<br>Kandivili West.   | Mumbai | Maharashtra | 400067      | Y                                | 1                          |
| 4          | Darab Bajina<br>Investments<br>Pvt Ltd  | AP1009001023                                      | corporate      | Approved                | Office No 34 5th Floor<br>Onlooker Building<br>Opp. Axis Bank,Sir, P<br>M Road, Fort,  | Mumbai | Maharashtra | 400001      | Y                                | 1                          |
| 5          | Devang<br>Mahesh<br>Rathod  | AP1009000881                                      | Individual     | Approved                | Plot No. 223,505,<br>Charkop Siddharth<br>CHS. Ltd., Sector-3<br>Near Sarswat Bank,<br>Kandivali (West)  | Mumbai | Maharashtra | 400067      | N                                | -                          |
| 6          | Diva Bharat<br>Sheth  | AP1009001111                                      | Individual     | Approved                | A-2603, Beaumonte Tower A, C. T. S.No. 6 (Part), Road No. 28 B, Near Roopam Cinema, Sion Koliwada, VTC Mumbai Po. Sion, District Mumbai City, Next to Sion Telephone Exchange, Sion (West) | Mumbai | Maharashtra | 400022      | N                                | -                          |
| 7          | Harshad D.<br>Mehta (Trade<br>Name:<br>Heritage<br>Securities)                          | AP100900531                                       | Proprietorship | Approved                | Flat No.1203, Vandana<br>Bldg, Plot No.3, 12th<br>Floor, Pestom Sagar<br>Road No 4, Pestom<br>Sagar Road No<br>4. Chembur  | Mumbai | Maharashtra | 400089      | N                                | -                          |
| 8          | Jayesh<br>Bhuleshwar<br>Upadhyay<br>(Trade Name:<br>Forum<br>Finanacial<br>Consultancy) | AP1009000911                                      | Proprietorship | Approved                | A/2204,Vishnu Shivam<br>Tower,Thakur Village,<br>Next To Vishnu<br>Shivam Mall Kandivali<br>(East),  | Mumbai | Maharashtra | 400101      | Y                                | 1                          |

| 9  | Jayshree K.<br>Shah   | AP1009000781 | Individual     | Approved | 9/1,Room No.<br>20,Gazdar Street,J. S.<br>S. Road,Chira Bazar  | Mumbai | Maharashtra | 400002 | Y | 1 |
|----|---|--------------|----------------|----------|--|--------|-------------|--------|---|---|
| 10 | Kaushal<br>Deepak Shah  | AP1009001181 | Individual     | Approved | 87, Jai Bhagwan<br>Building, Flat No. 9,<br>3rd Floor,Walkeshwar<br>Road,Next to White<br>House,Walkeshwar           | Mumbai | Maharashtra | 400006 | Y | 1 |
| 11 | Laser<br>Securities Pvt.<br>Ltd.  | AP1009001033 | corporate      | Approved | 602 Bldg No. 3 Raheja<br>Classique Off New Link<br>Rd. Andheri Andheri<br>West                                       | Mumbai | Maharashtra | 400053 | Y | 2 |
| 12 | Madhu<br>Holdings<br>Private<br>Limited                                   | AP1009001193 | corporate      | Approved | Elegant House<br>Raghuvanshi Mills<br>Compound Senapati<br>Bapat Marg Lower<br>Parel West                            | Mumbai | Maharashtra | 400013 | N | - |
| 13 | Maya A Shah   | AP1009000861 | Individual     | Approved | 2, Framroz Court 1st<br>Floor,Dada Saheb<br>Phalke Road Dadar<br>(East)  | Mumbai | Maharashtra | 400014 | Y | 5 |
| 14 | Mukesh<br>Dhirajlal<br>Mehta  | AP1009001061 | Individual     | Approved | Flat No 10, Plot No<br>3/B,Trilok CHS Ltd 3rd<br>Floor Swami<br>Vallabhdas Marg, Near<br>Sadhana School Sion<br>West | Mumbai | Maharashtra | 400022 | N | - |
| 15 | Navin O<br>Marwah   | AP100900671  | Individual     | Approved | 181,Om Surya Vihar<br>Near Sion Hospital<br>Sion (West)  | Mumbai | Maharashtra | 400022 | Y | 1 |
| 16 | Nikhil H.<br>Harlalka<br>(Trede Name:<br>N. H.<br>Investments)            | AP1009000921 | Proprietorship | Approved | 1006,Corporate<br>Avenue Building,<br>Sonawala Lane, Next<br>To Udyog<br>Bhuvan,Goregaon<br>(East)                   | Mumbai | Maharashtra | 400063 | Y | 1 |
| 17 | Pawankumar<br>Mohanlal<br>Kanodia<br>(Trade Name:<br>ASP<br>Investments)  | AP1009000931 | Proprietorship | Approved | 54/A,Bansi Nagar<br>Kulup Wadi<br>Road,Western Express<br>Highway,Borivali (<br>East)                                | Mumbai | Maharashtra | 400066 | Y | 1 |
| 18 | Pradeep<br>Balkrushana<br>Hendre<br>(Trade Name:<br>Pradeep B.<br>Hendre) | AP1009000971 | Individual     | Approved | Office No.04 Nitin K,<br>Bafna Complex Survey<br>Number 131A,<br>Bhawani Peth, Pune                                  | Pune   | Maharashtra | 411002 | Y | 1 |
| 19 | Prakash R<br>Kapadia  | AP1009000981 | Individual     | Approved | 151/8, 3rd Floor,Dev<br>Krupa BLDG., Jain<br>Society, Sion (W)   | Mumbai | Maharashtra | 400022 | Y | 1 |
| 20 | Priya V.<br>Gosher  | AP1009000761 | Individual     | Approved | 204/4,Fenil<br>Apartment,Dhobi<br>Ali,Near Ganesh<br>Cinema,   | Thane  | Maharashtra | 400601 | Y | 1 |

| 21 | Samarth<br>Ravindra<br>Bhuvad   | AP1009001121 | Individual     | Approved | S/o. Ravindra Bhuvad.<br>C-103,Prashant<br>Sankul,Diva East,<br>Thane Diwa, Prashant  | Thane  | Maharashtra | 400612 | N | - |
|----|---|--------------|----------------|----------|---|--------|-------------|--------|---|---|
| 22 | Sandeep<br>Balkrishna<br>Hendre<br>(Trade Name:<br>Sandeep B.<br>Hendre)  | AP1009000991 | Individual     | Approved | Nagar,Diva  197, Raviwar Peth, Kachhi Alli Phoolwala Chowk  | Pune   | Maharashtra | 411042 | Y | 1 |
| 23 | Simran N<br>Totlani   | AP1009000941 | Individual     | Approved | Office No. A-406, Ramji<br>House Premises CHSL,<br>4th Floor, CTS,No 94<br>Road No 30 Jambhul<br>Wadi, J S S Road, Village<br>Bhuleshwar, | Mumbai | Maharashtra | 400002 | Υ | 1 |
| 24 | Sohan Kirit<br>Mehta  | AP1009001051 | Individual     | Approved | Flat No. 305, A<br>Wing,Rudra Park 3rd<br>Floor, VTC - Kalher, PO<br>- Kalher Sub District -<br>Bhiwandhi Kalher -<br>Bhiwandhi,          | Thane  | Maharashtra | 421302 | N | - |
| 25 | Suhas Govind<br>Rathi (Trade<br>Name:<br>Investment<br>Service<br>Center) | AP1009000951 | Proprietorship | Approved | A-1-603,Gangotari<br>Sadan New Link Road<br>Bangur Nagar<br>Goregaon West, Dist<br>Mumbai 400 090   | Mumbai | Maharashtra | 400090 | Y | 1 |
| 26 | Vishakha<br>Paresh<br>Chowhan   | AP1009000851 | Individual     | Approved | Flat No.101, Ratna<br>Residency Narmada<br>Mahadev<br>Complex,150 Feet<br>Road, Bhayander West  | Thane  | Maharashtra | 401101 | N | - |
| 27 | Vishal B Shah   | AP100900751  | Individual     | Approved | Flat No.610, D Wing, 6 <sup>th</sup><br>Floor, Kamala Nagar<br>CHS. M. G. Road,<br>Kandivali (West)                                       | Mumbai | Maharashtra | 400067 | Υ | 1 |
| 28 | Yatin K<br>Kothari<br>(Trade Name:<br>Dhaval<br>Investment)               | AP1009000961 | Proprietorship | Approved | A/404,Titanium<br>Tower, Jay View<br>CHS.,Sahkar Nagar<br>Near Apna Bazar J P<br>Road, Andheri West                                       | Mumbai | Maharashtra | 400053 | Y | 1 |
| 29 | Dipesh P. Shah  | AP1009001201 | Individual     | Approved | 161/B, Kalpataru<br>Residency CHS. Ltd.,<br>Plot No. 107-E, Opp.<br>Cine Planet Theater,<br>Sion ( EAST )                                 | Mumbai | Maharashtra | 400022 | Υ | 1 |
| 30 | Mahi<br>Technologies  | AP1009001212 | Firm           | Approved | 1608, Shivalik Tower, 90<br>Ft Rd, W E Highway,<br>Thakur Complex,<br>Kandivali (East)  | Mumbai | Maharashtra | 400101 | N | - |

List of Authorised Persons (AP) cancelled by members on Account of Disciplinary Reasons

| Sr. No. | Authorised Person's Name | Status     | Authorised Person Cancellation Details |        |  |
|---------|--------------------------|------------|--|--------|--|
|         |                          |            | Date                                   | Reason |  |
|         | Not A                    | Applicable |  |        |  |